

N1121-037  
RJJ/jj\*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Robert N. BOWMAN )  
Serial No. 09/830, 878 ) Examiner: M. Meller  
Filed: November 20, 2001 ) Group Art Unit: 1654  
For: "Trimeric and Polymeric Alkaloids" )

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

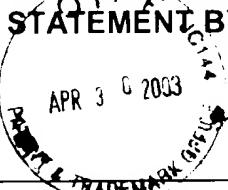
Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant submits herewith copies of publications that the Office may wish to consider in examination of the subject application. The publications are listed on the attached form PTO-1449.

Respectfully submitted,

By \_\_\_\_\_

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <div style="text-align: center; margin-top: 10px;">  </div>				<i>Complete if Known</i>			
				Application Number		09/830, 878	
				Filing Date		November 20, 2001	
				First Named Inventor		Robert N. BOWMAN	
				Group Art Unit		1654	
				Examiner Name		M. Meller	
Sheet	4	of	6	Attorney Docket Number	1121-037		

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#### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		4,172,077	A	Karola Jovanovics, et al.	October 23, 1979
		4,199,504	A	Robert A. Comrad, et al.	April 22, 1980
		4,203,898	A	George J. Cullinan, et al.	May 20, 1980
		4,831,133	A	Anne E. Goodbody, et al.	May 16, 1989
		5,024,835	A	KSP Siva Bhushana Rao, et al.	June 18, 1991
		5,030,620	A	Jean A. A. Hannart, et al.	July 9, 1991
		5,491,285	A	Robert N. Bowman	Febrary 13, 1996

#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number	Kind Code (if known)			
			0 041 935	A	Andre Benoit Leon Trouet, et al.	December 16, 1981	
			0 124 502	A	Andre Benoit Leon Trouet, et al.	November 7, 1984	
			0 222 722	A	Andre Benoit Leon Trouet, et al.	May 20, 1987	
			0 233 101	A	Andre Benoit Leon Trouet, et al.	August 19, 1987	

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind

<sup>6</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>7</sup>Kind

of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>1</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.



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## 2. Product

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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.